

**APPENDIX A: ABBREVIATIONS**

AAR .....	Association of American Railroads
ACC .....	American Chemistry Council
ADR .....	alternative dispute resolution
AFBF .....	American Farm Bureau Federation
AFL-CIO .....	American Federation of Labor and Congress of Industrial Organizations
AFRC .....	American Forest Resource Council
AF&PA .....	American Forest & Paper Association
AGP .....	Ag Processing Inc.
ALJ .....	Administrative Law Judge
Ameren .....	Ameren Services Company
Amtrak .....	National Railroad Passenger Corporation
ANPR .....	advance notice of proposed rulemaking
APA .....	Administrative Procedure Act
APC .....	American Plastics Council
APTA .....	American Public Transportation Association
ARC .....	Alliance for Rail Competition
ARU .....	Allied Rail Unions (BRS, IBB, NCFO, SMW, and TWU)
ASLRRRA .....	American Short Line and Regional Railroad Association
ATDD .....	American Train Dispatchers Department-BLE
ATU .....	Amalgamated Transit Union
BASF .....	BASF Corporation
BEA .....	Bureau of Economic Analysis statistical area
BLE .....	Brotherhood of Locomotive Engineers
BMWE .....	Brotherhood of Maintenance of Way Employes
BN .....	Burlington Northern Inc. and Burlington Northern Railroad Company
BNSF .....	The Burlington Northern and Santa Fe Railway Company
Board .....	Surface Transportation Board
BRS .....	Brotherhood of Railroad Signalmen
Bunge .....	Bunge Corporation
CBA .....	collective bargaining agreement
CBCA .....	Canada Business Corporations Act
CCS .....	Certain Coal Shippers group
CDA .....	Canadian Government
CFI .....	The Canadian Fertilizer Institute
CFR .....	Code of Federal Regulations
CMA .....	Chemical Manufacturers Association
CN .....	Canadian National (Canadian National Railway Company, Grand

	Trunk Western Railroad Incorporated, and Illinois Central Railroad Company)
CNW .....	Chicago and North Western Transportation Company and Chicago and North Western Railway Company
COFI .....	Council of Forest Industries
Conrail .....	Conrail Inc. and Consolidated Rail Corporation
CP .....	Canadian Pacific (Canadian Pacific Railway Company, Soo Line Railroad Company, Delaware and Hudson Railway Company, Inc., and St. Lawrence and Hudson Railway Company Limited)
CPPA .....	Canadian Pulp and Paper Association
CPUC .....	California Public Utilities Commission
CR .....	Conrail Inc. and Consolidated Rail Corporation
CRCIC .....	CrossRoad Carriers Intermodal Co.
CSX .....	CSX Corporation and CSX Transportation, Inc.
CURE .....	Consumers United for Rail Equity
DM&E .....	Dakota, Minnesota & Eastern Railroad Corporation
DOD .....	U.S. Department of Defense
DOJ .....	U.S. Department of Justice
DOT .....	U.S. Department of Transportation
Dow .....	Dow Chemical Company
DT&I .....	Detroit, Toledo & Ironton Railroad Company
DuPont .....	E. I. Du Pont de Nemours and Company
EEI .....	Edison Electric Institute
EIS .....	Environmental Impact Statement
Enron .....	Enron Corporation
EPO .....	Enterprise Products Operating L.P.
ESHR .....	Eastern Shore Railroad, Inc.
FERC .....	Federal Energy Regulatory Commission
FGLK .....	Finger Lakes Railway Corp.
FMRS .....	Farmrail System, Inc.
FOA .....	final offer arbitration
FR .....	Federal Register
FRA .....	Federal Railroad Administration
FTC .....	Federal Trade Commission
GHP .....	Greater Houston Partnership
GRR .....	Georgetown Railroad Company
HERE .....	Hotel Employees and Restaurant Employees Union
HRC .....	Housatonic Railroad Company, Inc.
IAM .....	International Association of Machinists and Aerospace Workers
IBB .....	International Brotherhood of Boilermakers, Iron Ship Builders, Blacksmiths, Forgers and Helpers

IBEW .....	International Brotherhood of Electrical Workers
IC .....	Illinois Central Railroad Company
ICC .....	Interstate Commerce Commission
ICCTA .....	ICC Termination Act of 1995
IMC .....	intermodal marketing company
IMC Global .....	IMC Global Inc.
IMPACT .....	Committee to Improve American Coal Transportation
KCS .....	The Kansas City Southern Railway Company
LFTG .....	Lumber Fair Trade Group
MARC .....	MARC Commuter Train Service
Mayo .....	Mayo Foundation d/b/a Mayo Clinic
MDDOT .....	Maryland Department of Transportation
Metra .....	Commuter Rail Division of the Regional Transportation Authority of Northeast Illinois d/b/a Metra
MIDOT .....	Michigan Department of Transportation
MKT .....	Missouri-Kansas-Texas Railroad Company
MMM .....	Martin Marietta Materials, Inc.
MP .....	Missouri Pacific Railroad Company
MSE .....	Mississippi Export Railroad
MTMCTEA .....	Military Traffic Management Command Transportation Engineering Agency
NAFCA .....	North America Freight Car Association
NAFTA .....	North American Free Trade Agreement
NCCC .....	National Carriers' Conference Committee
NCFO .....	National Council of Firemen and Oilers/SEIU
NEPA .....	National Environmental Policy Act
NGFA .....	National Grain and Feed Association
NITL .....	National Industrial Transportation League
NJT .....	New Jersey Transit Corporation
NMA .....	National Mining Association
NPR .....	notice of proposed rulemaking
NS .....	Norfolk Southern (Norfolk Southern Corporation and Norfolk Southern Railway Company)
NRLC .....	National Railway Labor Conference
NYCEDC .....	New York City Economic Development Corporation
OEEAA .....	Office of Economics, Environmental Analysis, and Administration
OKDOT .....	Oklahoma Department of Transportation
ORDC .....	Ohio Rail Development Commission
ORDOT .....	Oregon Department of Transportation
PANYNJ .....	Port Authority of New York and New Jersey

PHTC .....	Pennsylvania House Transportation Committee
POCCA .....	Port of Corpus Christi Authority of Nueces County, TX
POHA .....	Port of Houston Authority
POPM .....	Port of Pascagoula, MS (Jackson County Port Authority)
POPO .....	Port of Portland, OR
POSW .....	Port of Seattle, WA
PPG .....	PPG Industries, Inc.
PPL .....	PPL Generation, LLC and PPL Montana, LLC
P&G .....	The Procter & Gamble Company
PRB .....	Powder River Basin
PSA .....	purchase of service agreement
RCAF .....	Rail Cost Adjustment Factor
Reagent .....	Reagent Chemical & Research, Inc.
RIA .....	the 1998 AAR/ASLRRRA “Railroad Industry Agreement”
RLA .....	Railway Labor Act
RLD .....	Rail Labor Division of the Transportation Trades Department AFL-CIO
RNDP .....	Railroads for National Defense Program
ROE .....	Return on Equity
ROI .....	Return on Investment
SAP .....	Service Assurance Plan
SCRRA .....	Southern California Regional Rail Authority
SCS .....	Subscribing Coal Shippers group
SEA .....	Section of Environmental Analysis
SEIU .....	Service Employees International Union
SF .....	Santa Fe Pacific Corporation and The Atchison, Topeka and Santa Fe Railway Company
Shell .....	Shell Oil Company and Shell Chemical Company
SIP .....	Safety Integration Plan
SMW .....	Sheet Metal Workers International Association
SP .....	Southern Pacific Rail Corporation, Southern Pacific Transportation Company, St. Louis Southwestern Railway Company, SPCSL Corp., and The Denver and Rio Grande Western Railroad Company
STB .....	Surface Transportation Board
STRACNET .....	Strategic Rail Corridor Network
TCIU .....	Transportation•Communications International Union
TCS .....	Texas Crushed Stone Company
Tex Mex .....	Texas Mexican Railway Company
TFI .....	The Fertilizer Institute
TIA .....	Transportation Intermediaries Association

TMI .....	Twin Modal, Inc.
TPA .....	test period average
TWU .....	Transport Workers Union of America
UP .....	Union Pacific (Union Pacific Corporation and Union Pacific Railroad Company)
URPA .....	United Rail Passenger Alliance, Inc.
USCPTA .....	U.S. Clay Producers Traffic Association, Inc.
USDA .....	U.S. Department of Agriculture
UTU .....	United Transportation Union
UTU/GO-386 .....	UTU—General Committee of Adjustment (represented by Mr. John D. Fitzgerald, General Chairman for UTU on lines of BNSF)
WB&GC .....	Wheat, Barley & Grains Commissions group
WCL .....	Wisconsin Central Ltd.
WCS .....	Wisconsin Central System (Wisconsin Central Ltd., Fox Valley & Western Ltd., Sault Ste. Marie Bridge Company, Wisconsin Chicago Link Ltd., and Algoma Central Railway, Inc.)
WCSC .....	Western Canadian Shippers' Coalition
Weyerhaeuser .....	Weyerhaeuser Company
Williams .....	Williams Energy Services
WJPA .....	Washington Job Protection Agreement of 1936
WTO .....	World Trade Organization

**APPENDIX B: “SHORT FORM” CITATIONS**

ANPR .....	<u>Major Rail Consolidation Procedures</u> , STB Ex Parte No. 582 (Sub-No. 1) (STB served Mar. 31, 2000, and published at 65 FR 18021 on Apr. 6, 2000)
<u>BN/SF decision</u> .....	<u>Burlington Northern et al. — Merger — Santa Fe Pacific et al.</u> , 10 I.C.C.2d 661 (1995) <sup>60</sup>
<u>BN/SF proceeding</u> .....	<u>Burlington Northern Inc. and Burlington Northern Railroad Company — Control and Merger — Santa Fe Pacific Corporation and The Atchison, Topeka and Santa Fe Railway Company</u> , Finance Docket No. 32549
Bottleneck rules .....	<u>Central Power &amp; Light Co. v. Southern Pacific et al.</u> , 1 S.T.B. 1059 (1996), <u>clarified</u> , 2 S.T.B. 235 (1997)
<u>BNSF/CN proceeding</u> .....	<u>Canadian National Railway Company, Grand Trunk Western Railroad Incorporated, Illinois Central Railroad Company, Burlington Northern Santa Fe Corporation, and The Burlington Northern and Santa Fe Railway Company — Common Control</u> , STB Finance Docket No. 33842
<u>Carmen II</u> .....	<u>CSX Corp. — Control — Chessie and Seaboard C.L.I.</u> , 6 I.C.C.2d 715 (1990)
<u>Carmen III</u> .....	<u>CSX Corporation — Control — Chessie System, Inc. and Seaboard Coast Line Industries, Inc. (Arbitration Review)</u> , Finance Docket No. 28905 (Sub-No. 22) (STB served Sept. 25, 1998)
<u>City of Palestine</u> .....	<u>City of Palestine v. United States</u> , 559 F.2d 408 (5th Cir. 1977)
<u>CN/IC decision</u> .....	<u>Canadian National Railway Company, Grand Trunk Corporation, and Grand Trunk Western Railroad Incorporated — Control — Illinois Central Corporation, Illinois Central Railroad Company, Chicago, Central and Pacific Railroad Company, and Cedar River</u>

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<sup>60</sup> This was the decision in which the ICC approved the BN/SF merger application.

Railroad Company, STB Finance Docket No. 33556, Decision No. 37 (STB served May 25, 1999)<sup>61</sup>

- CN/IC proceeding ..... Canadian National Railway Company, Grand Trunk Corporation, and Grand Trunk Western Railroad Incorporated — Control — Illinois Central Corporation, Illinois Central Railroad Company, Chicago, Central and Pacific Railroad Company, and Cedar River Railroad Company, STB Finance Docket No. 33556
- CSX/NS/CR decision<sup>62</sup> ..... CSX Corporation and CSX Transportation, Inc., Norfolk Southern Corporation and Norfolk Southern Railway Company — Control and Operating Leases/Agreements — Conrail Inc. and Consolidated Rail Corporation, STB Finance Docket No. 33388, Decision No. 89 (STB served July 23, 1998)<sup>63</sup>
- CSX/NS/CR proceeding<sup>64</sup> .... CSX Corporation and CSX Transportation, Inc., Norfolk Southern Corporation and Norfolk Southern Railway Company — Control and Operating Leases/Agreements — Conrail Inc. and Consolidated Rail Corporation, STB Finance Docket No. 33388
- CSX/NS/CR Dec. No. 96 ... CSX Corporation and CSX Transportation, Inc., Norfolk Southern Corporation and Norfolk Southern Railway Company — Control and Operating Leases/Agreements — Conrail Inc. and Consolidated Rail Corporation, STB Finance Docket No. 33388, Decision No. 96 (STB served Oct. 19, 1998)
- Dispatchers ..... Norfolk & Western R. Co. v. Train Dispatchers, 499 U.S. 117 (1991)
- Dispatchers II ..... American Train Dispatchers Ass'n v. ICC, 26 F.3d 1157 (D.C. Cir. 1994)

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<sup>61</sup> This was the decision in which the Board approved the CN/IC merger application.

<sup>62</sup> The CSX/NS/CR decision is also referred to as the Conrail decision.

<sup>63</sup> This was the decision in which the Board approved the CSX/NS/CR application (also referred to as the Conrail application).

<sup>64</sup> The CSX/NS/CR proceeding is also referred to as the Conrail proceeding.

<u>DT&amp;I</u> conditions .....	<u>Detroit, T. &amp; I. R. Co. Control</u> , 275 I.C.C. 455, 492-93 (1950)
<u>Midtec</u> .....	<u>Midtec Paper Corporation v. CNW et al.</u> , 3 I.C.C.2d 171 (1986)
<u>New York Dock</u> .....	<u>New York Dock Ry. — Control — Brooklyn Eastern Dist.</u> , 360 I.C.C. 60 (1979)
NPR .....	<u>Major Rail Consolidation Procedures</u> , STB Ex Parte No. 582 (Sub-No. 1) (STB served Oct. 3, 2000, and published that day at 65 FR 58974)
<u>SF/SP</u> decisions .....	<u>Santa Fe Southern Pacific Corp. — Control — SPT Co.</u> , 2 I.C.C.2d 709 (1986), 3 I.C.C.2d 926 (1987) <sup>65</sup>
<u>SF/SP</u> proceeding .....	<u>Santa Fe Southern Pacific Corporation — Control — Southern Pacific Transportation Company</u> , Finance Docket No. 30400
SIPs rulemaking .....	<u>Regulations on Safety Integration Plans Governing Railroad Consolidations, Mergers, Acquisitions of Control, and Start Up Operations; and Procedures for Surface Transportation Board Consideration of Safety Integration Plans in Cases Involving Railroad Consolidations, Mergers, and Acquisitions of Control</u> , STB Ex Parte No. 574, FRA Docket No. SIP-1, Notice No. 1 (Joint Notice of Proposed Rulemaking (STB served Dec. 24, 1998, and published at 63 FR 72225 on Dec. 31, 1998))
<u>UP/CNW</u> decision .....	<u>Union Pacific Corporation, Union Pacific Railroad Company and Missouri Pacific Railroad Company — Control — Chicago and North Western Transportation Company and Chicago and North Western Railway Company</u> , Finance Docket No. 32133, Decision No. 25 (ICC served Mar. 7, 1995) <sup>66</sup>
<u>UP/CNW</u> proceeding .....	<u>Union Pacific Corporation, Union Pacific Railroad Company and Missouri Pacific Railroad Company — Control — Chicago and North Western Transportation Company and Chicago and North Western Railway Company</u> , Finance Docket No. 32133

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<sup>65</sup> These were the decisions in which the ICC denied the SF/SP merger application.

<sup>66</sup> This was the decision in which the ICC approved the UP/CNW merger application.

<u>UP/MKT</u> decision .....	<u>Union Pacific Corp. et al. — Cont. — MO-KS-TX Co. et al., 4 I.C.C.2d 409 (1988)</u> <sup>67</sup>
<u>UP/MKT</u> proceeding .....	<u>Union Pacific Corporation, Union Pacific Railroad Company and Missouri Pacific Railroad Company — Control — Missouri-Kansas-Texas Railroad Company, et al., Finance Docket No. 30800</u>
<u>UP/MP/WP</u> decision .....	<u>Union Pacific — Control — Missouri Pacific; Western Pacific, 366 I.C.C. 459 (1982)</u> <sup>68</sup>
<u>UP/MP/WP</u> proceeding .....	<u>Union Pacific Corporation, Pacific Rail System, Inc., and Union Pacific Railroad Company — Control — Missouri Pacific Corporation and Missouri Pacific Railroad Company, Finance Docket No. 30000; Union Pacific Corporation, Pacific Rail System, Inc., and Union Pacific Railroad Company — Control — The Western Pacific Railroad Company, Finance Docket No. 30000 (Sub-No. 1)</u>
<u>UP/SP</u> decision .....	<u>Union Pacific/Southern Pacific Merger, 1 S.T.B. 233 (1996)</u> <sup>69</sup>
<u>UP/SP</u> proceeding .....	<u>Union Pacific Corporation, Union Pacific Railroad Company, and Missouri Pacific Railroad Company — Control and Merger — Southern Pacific Rail Corporation, Southern Pacific Transportation Company, St. Louis Southwestern Railway Company, SPCSL Corp., and The Denver and Rio Grande Western Railroad Company, Finance Docket No. 32760</u>
<u>UTU</u> .....	<u>United Transp. Union v. STB, 108 F.3d 1425 (D.C. Cir. 1997)</u>

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<sup>67</sup> This was the decision in which the ICC approved the UP/MKT merger application.

<sup>68</sup> This was the decision in which the ICC approved the UP/MP/WP merger application.

<sup>69</sup> This was the decision in which the Board approved the UP/SP merger application.