



***SURFACE TRANSPORTATION BOARD***  
***Washington, DC 20423***

**To:** State Historic Preservation Officers and Tribal Historic Preservation Officers

**Re:** Delegation of Authority for the Section 106 Review of Rail  
Abandonment Projects

**Date:** December 10, 2009

Under the regulations of the Surface Transportation Board (STB or Board), railroad applicants seeking to abandon rail lines must prepare Historic Reports and submit these reports to the State Historic Preservation Officer (SHPO) in the state or states where the rail line proposed for abandonment is located for SHPO's review and comment. Applicants must submit the Historic Reports to SHPOs at least 20 days before filing for abandonment authority before the Board. The Board developed this early consultation process to provide more information "upfront" to assist SHPOs, railroads, and Board staff to consider whether a proposed abandonment would affect any historic sites and structures. This "upfront" review is necessary because of the tight regulatory timeframes established by Congress governing rail line abandonment cases.

Some SHPOs have expressed reluctance with reviewing the Historic Reports because they are prepared by the railroad, or in many cases, by an attorney representing a railroad, rather than by the Board, the federal agency responsible for compliance with the National Historic Preservation Act (NHPA). Consequently, some SHPOs do not respond to the Historic Reports, feeling strongly that the Section 106 process under NHPA is not appropriately begun until the Board sends a letter to the SHPO formally initiating the Section 106 historic review process.

To ensure that the Board's requirements are consistent with those of NHPA, the Board has determined pursuant to 36 CFR Section 800.2(c)(4) and our environmental regulations at 49 CFR 1105, that **applicants seeking authority from the Board to abandon railroad lines may act on behalf of the Board when complying with the Section 106 regulations of NHPA.**

Accordingly, applicants and their authorized representatives, such as attorneys acting on their behalf, may consult with SHPOs to initiate the review process established under 36 CFR Part 800 and to carry out some of its steps. Specifically, applicants are authorized to gather information to identify and to work with consulting parties to assess effects. The Board retains the responsibility to mitigate adverse effects, document its findings, and conclude the Section 106 review.

Rail abandonment applicants and their representatives must involve the Board in consultation whenever:

- There is a disagreement between an applicant or its authorized representatives and SHPO regarding the area of potential effect, identification and evaluation of historic properties, or assessment of effects;
- There is a disagreement between a potential consulting party and the applicant regarding the party's involvement in the Section 106 review process; or
- There is the potential for foreclosure of the opportunity to comment or anticipatory demolition pursuant to 36 CFR Section 800.9(b) and 36 CFR Section 800.9(c), respectively.

Attached is a one-page summary of the Board's Section 106 review process. In addition, the Board has developed historic preservation guidance that explains our historic preservation process in more detail (see [www.stb.dot.gov/stb/environment/HistoricPreservation/Guidance](http://www.stb.dot.gov/stb/environment/HistoricPreservation/Guidance)). General information regarding the Board is available on the Board's website at [www.stb.dot.gov](http://www.stb.dot.gov).

If you have any questions regarding any of the above, please free to contact me or Catherine Glidden of my staff at 202-245-0293 or [gliddenc@stb.dot.gov](mailto:gliddenc@stb.dot.gov).

Sincerely,

A handwritten signature in black ink, appearing to read "Victoria Rutson". The signature is written in a cursive style with a large initial "V".

Victoria Rutson  
Chief, Section of Environmental Analysis  
Federal Preservation Officer for the Board